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Atty. Dkt. No. 054821-0248

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Yu-Lin Chen
Title: METHOD FOR MAKING AN
ALLOY COATED BATTERY GRID
Appl. No.: 09/755,337
Filing Date: 01/05/2001
Examiner: Carol Chaney
Art Unit: 1745

Commissioner for Patents
Washington, D.C. 20231

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| <p>CERTIFICATE OF MAILING I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231, on the date below.</p> <p><u>Chris Escavaille</u> (Printed Name)</p> <p><u><i>Chris Escavaille</i></u> (Signature)</p> <p><u>February 5, 2003</u> (Date of Deposit)</p> |
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TRANSMITTAL

Transmitted herewith are the following documents for the above-identified application.

- [X] Supplemental Information Disclosure Statement Under 37 C.F.R. § 1.56 (2 pages).
- [X] Form PTO-1449 (1 page) and 6 references
- [X] Check Number #11797 for \$180.00 in payment of Supplemental IDS filing fee.

Please direct all correspondence to the undersigned attorney or agent at the address indicated below.

Respectfully submitted,

Date 2/5/03

FOLEY & LARDNER
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By *Marcus W. Sprow*

Marcus W. Sprow
Attorney for Applicant
Registration No. 48,580



Atty. Dkt. No. 054821-0248

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2/24/03

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

#12

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SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT
UNDER 37 CFR §1.56

Commissioner for Patents
Washington, D.C. 20231

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Submitted herewith on Form PTO-1449 is a listing of documents known to Applicant in order to comply with Applicant's duty of disclosure pursuant to 37 CFR §1.56. A copy of each listed document is being submitted to comply with the provisions of 37 CFR §1.97 and §1.98.

The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application or that such document is considered material to patentability as defined in 37 CFR §1.56(b). Applicant does not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a *prima facie* art reference against the claims of the present application.

TIMING OF THE DISCLOSURE

The listed documents are being submitted in compliance with 37 CFR §1.97(c), before the mailing date of either a final action under 37 CFR §1.113, a notice of allowance under 37 CFR §1.113, or an action that otherwise closes prosecution in the application.

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RELEVANCE OF EACH DOCUMENT

Any document listed on the attached PTO-1449 was cited as being relevant during the prosecution of the corresponding International application. A copy of the International Search Report is attached setting forth the portion of each document considered relevant by the examiner. An English-language counterpart of the foreign-language document has been provided where readily available. The absence of a translation or an English-language counterpart document does not relieve the PTO from its duty to consider any submitted document (37 CFR §1.98 and MPEP§609).

Applicant respectfully requests that any listed document be considered by the Examiner and be made of record in the present application and that an initialed copy of Form PTO-1449 be returned in accordance with MPEP §609.

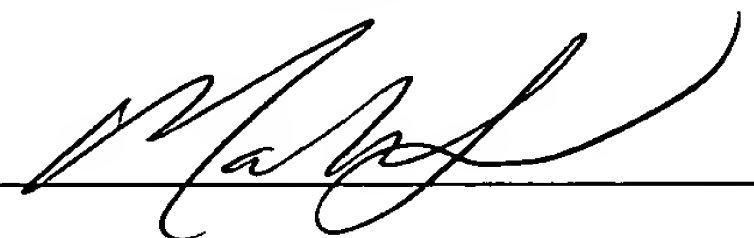
FEE

A fee in connection with submission of a supplemental information disclosure statement under 37 CFR §1.97(c) in the amount of \$180.00 in accordance with 37 CFR §1.17(p) is attached.

The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 CFR §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 06-1447. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 06-1447.

Respectfully submitted,

Date 2/5/03

By 

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Marcus W. Sprow
Attorney for Applicant
Registration No. 48,580

Form PTO-1449
(MODIFIED)U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

ATTY. DOCKET NO.

054821-0248

SERIAL NO.

09/755,337

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INFORMATION DISCLOSURE CITATION

APPLICANT

Yu-Lin Chen

FILING DATE

01/05/2001

GROUP ART UNIT

1745

FEB 10 2003

(Use several sheets if necessary)

U.S. PATENT DOCUMENTS

| EXAMINER INITIAL | REF | DOCUMENT NUMBER | DATE | NAME | CLASS | SUB- CLASS | FILING DATE IF APPROPRIATE |
|---------------------|-----|--------------------|------------|------------|-------|---------------|----------------------------------|
| | A1 | 5,989,749 | 11/23/1999 | Kao et al. | 429 | 241 | |
| | A2 | 5,858,575 | 01/12/1999 | Chen | 429 | 245 | |
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FOREIGN PATENT DOCUMENTS

| | REF | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUB- CLASS | TRANSLATION | |
|--|-----|--------------------|------------|---------|-------|---------------|-------------|----|
| | | | | | | | YES | NO |
| | A3 | EP 0795917A2 | 09/17/1997 | EPO | | | X | |
| | A4 | EP 0348702A1 | 06/07/1989 | EPO | | | | X |
| | A5 | JP 58075772 | 05/07/1983 | Japan | | | X | |
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OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

| | | |
|--|----|---|
| | A6 | PCT International Search Report of International Application No. PCT/US 02/00390 (7 pages). |
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EXAMINER

DATE CONSIDERED

- * EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include any copy of this form with next communication to applicant.